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J103 U.S. PTO

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UTILITY PATENT APPLICATION TRANSMITTAL

(New Nonprovisional Applications Under 37 CFR § 1.53(b))

Attorney Docket No.
49986-0505

TO THE COMMISSIONER FOR PATENTS:

Transmitted herewith is the patent application of () application identifier or (X) first named inventor, Hitoshi SEKINE, et al., entitled METHOD AND APPARATUS FOR STORING AND MANAGING DATA, for a(n):

Original Patent Application.

Continuing Application (prior application not abandoned):

Continuation Divisional Continuation-in-part (CIP)
of prior application No: _____ Filed on: _____.

A statement claiming priority under 35 USC § 120 has been added to the specification.

Enclosed are:

Specification 23 Total Pages; Drawing(s); 4 Total Sheets; Cover Sheet 1 Page

Oath or Declaration: 2 Pages

A Newly Executed Combined Declaration and Power of Attorney:

Signed. Unsigned. Partially Signed.

A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).

Incorporation by Reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated herein by reference.

Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 163(d)(2)).

Power of Attorney. Return Receipt Postcard.

Associate Power of Attorney. A Check in the amount of \$ 908.00 for the Filing Fee.

Preliminary Amendment. Information Disclosure Statement and Form PTO-1449.

Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)

A Duplicate Copy of this Form for Processing Fee Against Deposit Account.

A Certified Copy of Priority Documents (if foreign priority is claimed).

Applicant(s) is entitled to small entity status. See 37 CFR 1.27.

Statement(s) of Status as a Small Entity Filed in Prior Application, Status Still Proper and Desired.

Recordation of Assignment Cover Sheet, executed Assignment and check for \$40.00 to record Assignment.

Other: Fee Transmittal (pg in duplicate)

J103 U.S. PTO
09/782988

02/13/01

| CLAIMS AS FILED | | | | |
|---|-----------|-----------|------------------|-----------|
| FOR | NO. FILED | NO. EXTRA | RATE | FEE |
| Total Claims | 31 | 11 | \$18.00 | \$ 198.00 |
| Independent Claims | 3 | 0 | \$80.00 | \$ 0.00 |
| Multiple Dependent Claims (if applicable) | | | | \$0.00 |
| Assignment Recording Fee | | | | \$40.00 |
| Basic Filing Fee | | | | \$710.00 |
| | | | Total Filing Fee | \$ 908.00 |

Charge \$ to Deposit Account 50-1302 pursuant to 37 CFR § 1.25. Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Respectfully submitted,

By: Edward A. Becker

Edward A. Becker, Attorney of Record, Reg. No.37,777

Date: February 13, 2001

Correspondence Address:

Hickman Palermo Truong & Becker, LLP
1600 Willow Street
San Jose, California 95125-5106
Telephone: (408) 414-1080
Facsimile: (408) 414-1076

I hereby certify that this is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to:

Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

By: Tirena Say

Typed Name: Tirena Say

Express Mail Label No.: EL652871888US

Date of Deposit: February 13, 2001

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
See 37 C.F.R. §§ 1.27 AND 1.28

TOTAL AMOUNT OF PAYMENT (\$ 948.00)

Complete if Known

| | |
|----------------------|-------------------|
| Application Number | Not Yet Assigned |
| Filing Date | February 13, 2001 |
| First Named Inventor | SEKINE, et al. |
| Examiner Name | Not Yet Assigned |
| Group/Art Unit | Not Yet Assigned |
| Attorney Docket No. | 49986-0505 |

METHOD OF PAYMENT (check one)

1. Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Deposit Account Number 50-1302

Deposit Account Name Hickman Palermo Truong & Becker, LLP

2. Payment Enclosed:
 Check Money Order Other
 3. Applicant(s) is entitled to small entity status.
See 37 CFR 1.27

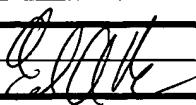
FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity Fee Code | Fee \$ | Small Entity Fee Code | Fee \$ | Fee Description | Fee Paid |
|-----------------------------------|--------|-----------------------|--------|--|------------|
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet. | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | |
| 116 | 390 | 216 | 195 | Extension for reply within second month | |
| 117 | 890 | 217 | 445 | Extension for reply within third month | |
| 118 | 1,390 | 218 | 695 | Extension for reply within fourth month | |
| 128 | 1,890 | 228 | 945 | Extension for reply within fifth month | |
| 119 | 310 | 219 | 155 | Notice of Appeal | |
| 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | |
| 121 | 270 | 221 | 135 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 141 | 1,210 | 241 | 605 | Petition to revive - unintentional | |
| 142 | 1,210 | 242 | 605 | Utility issue fee (or reissue) | |
| 143 | 430 | 243 | 215 | Design issue fee | |
| 144 | 580 | 244 | 290 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Petitions related to provisional applications | |
| 126 | 240 | 126 | 240 | Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | 40.00 |
| 146 | 690 | 246 | 345 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 | 690 | 249 | 345 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| Other fee (specify) _____ | | | | | |
| Other fee (specify) _____ | | | | | |
| *Reduced by Basic Filing Fee Paid | | | | SUBTOTAL (3) | (\$ 40.00) |

SUBMITTED BY

Complete if applicable

| | | | | | |
|-------------------|---|-----------------------------------|--------|-----------|-------------------|
| Name (Print/Type) | Edward A. Becker | Registration No. (Attorney/Agent) | 37,777 | Telephone | (408) 414-1080 |
| Signature |  | | | Date | February 13, 2001 |

WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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| | | |
|--|--------------------------------|---|
| REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i) | First Named Inventor | |
| | Title | METHOD AND APPARATUS FOR STORING AND MANAGING DATA |
| | Atty. Docket Number 49986-0505 | |

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

February 13, 2001

Date



Signature

Edward A. Becker

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**